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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Holland, Eric C. § ART UNIT:
§
FILED: October 3, 2003 §
§
SERIAL NO.: 10/679,231 § EXAMINER:
§
FOR: Transgenic Animal and Uses § DOCKET:
Thereof § D 6534
§

Commissioner of Patents
P.O. Box 1450
MS DD
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Dear Sir:

Enclosed herewith for filing under 37 C.F.R. 1.97(b) in the above-referenced matter is form PTO-1449 together with copies of the references listed therein. If any questions remain, the Examiner is respectfully requested to call the undersigned attorney at (713)-270-5391.

Respectfully submitted,



Benjamin Aaron Adler, Ph.D., J.D.
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Counsel for Applicant

Date: July 8, 2004
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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Dear Sir:

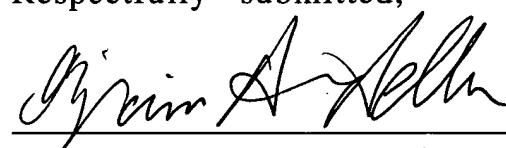
I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to: Commissioner of Patents, BOX DD, Alexandria, VA 22313.

- 1) Information Disclosure Statement;
- 2) Information Disclosure Statement Cover Letter;
- 3) PTO Form 1449 (Five References cited); and
- 4) Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

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**U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE****Information Disclosure
Statement**

| | |
|--------------|------------------|
| DOCKET NO.: | D6534 |
| SERIAL NO.: | 10/679,231 |
| APPLICANT: | Holland, Eric C. |
| FILING DATE: | October 03, 2003 |
| GROUP: | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|------------------|-----------------|------|------|-------|----------|-------------|
|------------------|-----------------|------|------|-------|----------|-------------|

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**Publication:** Patent Cooperation Treaty (PCT)**Translation:****Document Number:** _____ **Date:** _____**Country:** _____**Class Or Subclass**Patent: Yes No

Title: _____

OTHER DOCUMENTS (Including Author, Title, Place of Date, Publication)

a. Müller, C. et al. *Methylation of the Cyclin A1 Promoter Correlates with Gene Silencing in Somatic Cell Lines, While Tissue-Specific Expression of Cyclin A1 Is Methylation Independent:* *Molecular and Cellular Biology*, May 2000, vol. 20, no. 9, pp. 3316-3329

b. Dieckmann, A. et al. *The E1A Transcriptional Control Region is Efficiently Activated in Proliferating Tissues of Transgenic Mice:* *Oncogene*, 1994, pp. 2227-2233

c. Jiang, Z. et al. *Retinoblastoma Gene Promoter Directs Transgene Expression Exclusively to the Nervous System:* *The Journal of Biological Chemistry*, January 5, 2001, vol. 276, no. 1, pp. 593-600

d. Holmberg, C. et al. *E2F-1-Induced p53-Independent Apoptosis in Transgenic Mice:* *Oncogene*, 1998, vol. 17, pp. 143-155

e. Pierce, A. et al. *Increased E2F1 Activity Induces Skin Tumors in Mice Heterozygous and Nullizygous for p53:* *Proc. Natl. Acad. Sci.*, July 1998, vol. 95, pp. 8858-8863

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|----------|-----------------|
| Examiner | Date Considered |
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)